



**2. COMPLETE THE FOLLOWING INFORMATION**

**APPLICANT AND COMPANY INFORMATION**

Applicant Name:

Applicant Phone:

Applicant Email:

Company Name:

- |   |   |
|---|---|
| <input type="checkbox"/> Trust company or bank            | <input type="checkbox"/> Due diligence firm                 |
| <input type="checkbox"/> Third party administrator (TPA)  | <input type="checkbox"/> Endowment/foundation               |
| <input type="checkbox"/> Clearing firm                    | <input type="checkbox"/> Family office                      |
| <input type="checkbox"/> Depository services firm         | <input type="checkbox"/> State or federal government agency |
| <input type="checkbox"/> Attorney                         | <input type="checkbox"/> Educator                           |
| <input type="checkbox"/> CPA                              | <input type="checkbox"/> Association                        |
| <input type="checkbox"/> Valuation/appraisal professional | <input type="checkbox"/> Other: (specify: _____)            |
| <input type="checkbox"/> Consultant                       |   |

Business Phone:

Business Fax:

Business Location:

City:

State:

Zip Code:

Mailing Address (if different than above):

City:

State:

Zip Code:

Company Website:

Length of Time in Business:

Number of Employees:

Name of Parent/Holding Company (If Any):

Names(s) of Company Owners:

Other Professional Association Memberships:

**Regulatory Affiliation:**

For Trust Companies and Banks:

- State Banking Authority: Specify State
- OCC
- FDIC

For Others:

- FINRA-registered
- SEC-registered
- Other: specify

**Company Contacts (To appear on RITA Membership Roster)**

CEO:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
CFO:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
President:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
Compliance:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
Operations:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
Marketing:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
Other:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
Other:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>
Other:	<input type="text"/>	Email:	<input type="text"/>	Phone:	<input type="text"/>

**Account Information (To be completed by Regular Member applicants only)**

<b>Types of Accounts/Plans Under Custody/ Administration</b>	<b>Number of Accounts/Plans</b>	<b>Asset Value Held in Accounts/Plans</b>
IRAs	<input type="text"/>	\$: <input type="text"/>
SEPs	<input type="text"/>	\$: <input type="text"/>
CESAs	<input type="text"/>	\$: <input type="text"/>
HSAs	<input type="text"/>	\$: <input type="text"/>
401(k)s	<input type="text"/>	\$: <input type="text"/>
Defined Contribution	<input type="text"/>	\$: <input type="text"/>
Defined Benefit	<input type="text"/>	\$: <input type="text"/>
Other: <input type="text"/>	<input type="text"/>	\$: <input type="text"/>
Other: <input type="text"/>	<input type="text"/>	\$: <input type="text"/>
Other: <input type="text"/>	<input type="text"/>	\$: <input type="text"/>
<b>Total Assets Under Custody/Administration</b>	<input type="text"/>	\$: <input type="text"/>
<b>If Total Assets Under Custody shown above include cash or assets held for TPAs or other Institutions, please specify</b>	<input type="text"/>	\$: <input type="text"/>

**Additional Information**

How did you hear about RITA?

Other information you would like to share about your company:

**3. CONDUCT DISCLOSURES**

Have you, your firm, or any principals of your firm been found guilty of a felony, violation of securities or insurance regulations, or disciplinary action by any state or federal authorities or agencies?

**No**    **Yes - If yes, please explain:**

**4. SIGNATURE**

I certify that the information provided in this Application is true and correct to the best of my knowledge, and I agree to abide by the RITA Code of Ethics as shown on RITA's website, [www.ritaus.org](http://www.ritaus.org). In addition, I will fully comply in using the appropriate RITA membership category on all forward-facing marketing materials, websites, or communications which I (or my company) may sponsor.

Signature of Applicant: \_\_\_\_\_

Title of Applicant:

Date:

✉ **Email Application to:** RITA Membership Director  
[membership@ritaus.org](mailto:membership@ritaus.org)

❓ **Questions?** Contact RITA  
Email: [membership@ritaus.org](mailto:membership@ritaus.org)

**Thank you for completing your application to become a RITA Member!**  
**We will let you know the next steps and, if approved, you will receive an invoice.**

**MEMBER DIRECTORY LISTING ON RITA WEBSITE**

As part of your RITA membership, your company name will be listed on RITA's online Member Directory at [www.RITAUS.org](http://www.RITAUS.org).  
Your company listing may also include:

- Your RITA membership category
- Company phone number
- Company contact address
- Company website (linked to your website)

**Please complete the following information as it should appear in your online Member Directory listing:**

**Regular Member**     **Service Member**

Company Name:

Company Phone Number:

Company Contact Address:

Company Website:



## SERVICE MEMBER

The Service Member category is open to a wide variety of individuals and companies that provide ancillary services or non-investment products to the self-directed retirement or financial services industry.

**Service Members Include:**

- Attorneys and CPAs.
- Due diligence firms.
- Valuation/appraisal professionals.
- Endowments/foundations and family offices.
- Consultants.
- Pension fund managers.
- State and federal government agencies.
- Educators from universities and colleges.
- Associations that focus on financial, investment, savings, retirement, or alternative asset issues.
- Others that provide related services or non-investment products

**Service Members Benefits:**

- Pay annual Membership Fees of \$2500; billed in January.
- Eligible for sponsorship or educational speaking opportunities at RITA conferences.
- Use "Service Member" in any forward-facing marketing materials, websites, etc. that reference RITA membership.
- Invitation to sponsor and/or host RITA webinars on industry topics.
- Opportunity to sponsor and present your services at RITA conferences and events.

Last Revised: 2/19/24

QUESTIONS?

Retirement Industry Trust Association  
Email: [membership@rita.us.org](mailto:membership@rita.us.org)